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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
CGW-247

U S APPLICATION NO (if known, see 37 CFR 1.5)

**09/787399**INTERNATIONAL APPLICATION NO.  
PCT/US99/21658INTERNATIONAL FILING DATE  
17 September 1999 (17.09.99)PRIORITY DATE CLAIMED  
22 September 1998 (22.09.98)

TITLE OF INVENTION      BURNERS FOR PRODUCING BOULES OF FUSED SILICA GLASS

APPLICANT(S) FOR DO/EO/US    Laura J. Ball, Raymond E. Lindner, Mahendra Kumar Misra, Dale R. Powers  
and Michael H. Wasilewski

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
  - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:  
(see Express Mail Information)

U.S. APPLICATION NO. (if known, see 37 CFR 1.492(e))  
**09/787399**INTERNATIONAL APPLICATION NO.  
PCT/US99/21658ATTORNEY'S DOCKET NUMBER  
CGW-247

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO      \$1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO      \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO      \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)      \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)      \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 100.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	22 - 20 =	2	X \$18.00	\$ 36.00
Independent claims	4 - 3 =	1	X \$80.00	\$ 80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 216.00

Reduction by 1/2 for filing by small entity , if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$

Processing fee of **\$130.00** for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

\$ 216.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property + \$

**TOTAL FEES ENCLOSED =**

\$ 216.00

Amount to be: refunded	\$
charged	\$

- a.  A check in the amount of \$216.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 11-1158 in the amount of \$ \_\_\_\_\_ to cover the above fees.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 11-1158. A duplicate copy of this sheet is enclosed

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

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Date

09/787399

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IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Appl. No. : PCT/US99/21658  
International Filing Date : 17 September 1999  
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Title of Invention : BURNERS FOR PRODUCING BOULES OF  
FUSED SILICA GLASS  
U.S. Serial No. : Not Yet Assigned  
Applicant (U.S.) : Laura J. Ball, Raymond E. Lindner,  
Mahendra Kumar Misra, Dale R. Powers  
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COMMISSIONER OF PATENTS AND TRADEMARKS  
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ATTENTION: DO/EO/US

PRELIMINARY AMENDMENT

Prior to its initial examination, please amend the above-identified application as follows:

IN THE SPECIFICATION

Please insert the following before the first line of the specification:

--CROSS REFERENCE TO RELATED APPLICATIONS

This application is the U.S. national phase under 35 USC §371 of International Application No. PCT/US99/21658, filed September 17, 1999, which was published in English under PCT Article 21(2) on March 30, 2000 as International Publication No. WO 00/17115. This application claims the benefit under 35 USC §119(e) of U.S. Provisional Application No. 60/101,403

filed September 22, 1998, the contents of which in its entirety is hereby incorporated by reference.--

Respectfully submitted,

Date: 16 March 2001

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5        BURNERS FOR PRODUCING BOULES OF FUSED SILICA GLASS

CROSS REFERENCE TO RELATED PROVISIONAL APPLICATION

This application claims the benefit under 35 USC §119(e) of U.S. Provisional Application No. 60/101,403, filed September 22, 1998, the  
10 contents of which are incorporated herein in their entirety.

FIELD OF THE INVENTION

This invention relates to burners for producing boules of fused silica glass, such as, high purity fused silica glass (HPFS glass) and ultra low expansion glass, from halide-free, silicon-containing (HF-SC) starting  
15 materials, such as, octamethyl-cyclotetrasiloxane (OMCTS).

BACKGROUND OF THE INVENTION

Fused silica glass is made commercially by the assignee of this invention using furnaces of the type shown in commonly-assigned PCT Patent Publication No. WO 97/10182, the contents of which are  
20 incorporated herein by reference. Figure 7 hereof is a copy of Figure 4 of WO 97/10182. In overview, furnaces of this type utilize flame hydrolysis to produce and deposit fine silica particles (silica soot) on a planar surface (e.g., a layer of bait sand) which is then consolidated into a solid glass boule. More particularly, furnaces of this type operate at a sufficiently high  
25 temperature so that the consolidation takes place essentially simultaneously with the depositing of the silica soot.

As shown in Figure 7, furnace 100 includes crown 12 which carries a plurality of burners 14 which produce the silica soot which is collected to form boule 19, which typically has a diameter on the order of five feet (1.5 meters). The present invention is concerned with the structure and  
30 operation of burners 14.

In the past, burners 14 have been unable to deposit soot in a sufficient manner at distances greater than six inches from the burner face, which has meant that the maximum boule thickness has been six inches. To meet the demand for fused silica products, especially, HPFS glass, it 5 would be desirable to produce boules having a thickness greater than six inches, e.g., boules having a thickness of 8-10 inches. The present invention is directed to providing burners capable of producing such boules.

Commonly-assigned U.S. Patent No. 5,599,371 (the '371 patent) describes burners suitable for use in producing preforms for optical 10 waveguide fibers from HF-SC starting materials. As explained in that patent, prior burners used to produce preforms from starting materials which contained halides (hereinafter the "halide burner") had five concentric gas-emitting regions: 1) a central region (fume tube) which emitted a mixture of a halide-containing/silicon-containing starting 15 material (e.g., SiCl<sub>4</sub>) and an inert gas, 2) an innershield region which emitted oxygen, 3) a third region which emitted a mixture (premix) of a combustible gas and oxygen, 4) a fourth region which also emitted a mixture (premix) of a combustible gas and oxygen, and 5) an outershield region which emitted oxygen.

As explained in the '371 patent, when an HF-SC starting material was substituted for the halide-containing/silicon-containing starting material previously used to produce preforms, it was found that the gases emitted from the various regions of the burner had to be changed. In particular, instead of the above gases, the five concentric gas-emitting 20 regions of the burner of the '371 patent emitted the following gases: 1) the fume tube emitted a mixture of the HF-SC starting material and oxygen plus, optionally, an inert gas, 2) the innershield emitted an inert gas, 3) the third region emitted oxygen, 4) the fourth region emitted oxygen, and 5) the outershield emitted a mixture (premix) of a combustible gas and oxygen.

30 In the course of the development of the burner of the present invention, an attempt was made to use a burner having the gas

arrangement of the '371 patent. It was surprisingly found that although such a burner works successful in producing optical waveguide preforms from HF-SC starting materials, it does not work particularly well in producing boules from such materials. In particular, it does not work  
5 successfully in producing thick boules from such starting materials.

Rather, in accordance with the invention, it was found that to successfully make a thick boule from an HF-SC starting material, the burner has to have the following concentric regions emitting the following gases: 1) a central region (fume tube) which emits a mixture of an HF-SC  
10 starting material and an inert gas, 2) an innershield region which emits oxygen, 3) a third region which emits a mixture (premix) of a combustible gas and oxygen, 4) a fourth region which emits a mixture (premix) of a combustible gas and oxygen, 5) a fifth region which emits a mixture (premix) of a combustible gas and oxygen, and 6) an outershield region  
15 which emits oxygen.

The foregoing burner history illustrates the difficulties in predicting whether a particular gas arrangement will work with a particular starting material (i.e., a halide-containing starting material versus a halide-free material) to produce a particular product (i.e., an optical waveguide preform  
20 versus a thick boule). Thus, an oxygen/premix/premix/oxygen arrangement surrounding a fume tube carrying a halide-containing raw material worked to produce preforms in the halide burner referred to above, but did not work when the raw material was halide free. Similarly, an inert  
gas/oxygen/oxygen/premix arrangement surrounding a fume tube carrying  
25 a halide-free raw material worked to produce preforms as disclosed in the '371 patent, but was found in the course of the development of the burner of the present invention to not work in the production of thick boules. As described in detail below, to produce thick boules, an  
oxygen/premix/premix/premix/oxygen arrangement surrounding a fume  
30 tube carrying a halide-free raw material needs to be used.

SUMMARY OF THE INVENTION

In view of the foregoing, it is an object of the present invention to provide soot-producing burners that will produce thicker boules and thus increase the yield of fused silica glass and, in particular, high purity fused 5 silica glass and ultra low expansion glass, produced in furnaces of the general type shown in Figure 7. It is a further object of the invention to provide a silica soot deposition technique that produces fused silica that exhibits very high optical qualities and has a large cross section and thickness.

10 To achieve these and other objects, the invention in accordance with certain of its aspects provides a method for forming a silica-containing boule (19) comprising:

- (a) providing a furnace (100) which comprises:
  - (i) a cavity (26);
  - 15 (ii) at least one burner (14) which produces a stream of soot particles; and
  - (iii) a substantially planar surface (24) within the cavity (26) for collecting the soot particles to form the boule;
- (b) providing a halide-free, silicon-containing material to the at 20 least one burner; and
- (c) collecting the soot particles to form the boule;  
wherein the width of the stream of soot particles is controlled to enhance the efficiency of step (c).

In particular, the width of the stream of soot particles is controlled in 25 accordance with the discovery, illustrated in Figure 6, that a reduction in the width leads to enhanced efficiency of step (c). That a reduction in width has this effect is counterintuitive since a priori one would think that widening the stream, rather than narrowing it, would result in the laydown of more soot particles.

30 In quantitative terms, the width of the stream at the working distance is preferably less than 25 millimeters, most preferably, less than

12 millimeters, where the working distance (i.e., the distance between the burner face and the surface of the boule) is at least 150 millimeters and preferably at least 200 millimeters or more.

In accordance with other aspects, the invention provides a method for  
5 forming a silica-containing boule (19) comprising the steps of:

- (a) providing a substantially planar surface (24);
- (b) providing a soot-producing burner (14) having a burner face (13) that comprises first (1), second (2), third (3), fourth (4), fifth (5), and sixth (6) gas-emitting regions, the second region surrounding the first region, the third region surrounding the second region, the fourth region surrounding the third region, the fifth region surrounding the fourth region, and the sixth region surrounding the fifth region;
- 10 (c) providing a mixture comprising an inert gas and a halide-free, silicon-containing material to the first region;
- 15 (d) providing oxygen to the second region;
- (e) providing a mixture of a combustible gas and oxygen to the third region;
- (f) providing a mixture of a combustible gas and oxygen to the fourth region;
- 20 (g) providing a mixture of a combustible gas and oxygen to the fifth region;
- (h) providing oxygen to the sixth region; and
- (i) collecting silica-containing soot on the substantially planar surface to form the boule.

25 In accordance with other aspects, the invention provides a soot-producing burner comprising a burner face which comprises first (1), second (2), third (3), fourth (4), fifth (5), and sixth (6) gas-emitting regions, the second region surrounding the first region, the third region surrounding the second region, the fourth region surrounding the third region, the fifth region surrounding the fourth region, and the sixth region surrounding the fifth region, wherein:

- (a) the first region emits a mixture of a halide-free, silicon-containing material and an inert gas;
  - (b) the second region emits oxygen;
  - (c) the third region emits a mixture of a combustible gas and 5 oxygen;
  - (d) the fourth region emits a mixture of a combustible gas and oxygen;
  - (e) the fifth region emits a mixture of a combustible gas and oxygen; and
- 10 (f) the sixth region emits oxygen.

In accordance with certain preferred embodiments of the invention, the radial spacing between the third, fourth, fifth, and sixth regions is substantially the same.

15 In accordance with other preferred embodiments, the first, second, third, fourth, fifth, and sixth regions have the following forms at the burner's face: the first region is in the form of an open disc or tube, the second region is an annular ring, and the third, fourth, fifth, and sixth regions are each a ring of orifices.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 Figure 1 is a schematic plan view of the face of a prior art burner illustrating the gas-emitting regions of the burner.

Figures 2 and 3 are schematic plan views of the faces of burners used in compiling the experimental data reported herein.

25 Figure 4 is a cross-sectional view of the bottom portion of a burner constructed in accordance with the invention. When oriented as shown in Figure 7, the bottom portion of the burner is the portion nearest the furnace cavity.

30 Figure 5 is a plan view of the cavity-facing surface of the top portion of a burner constructed in accordance with the invention. When oriented as shown in Figure 7, the top portion of the burner is the portion farthest from the furnace cavity.

Figure 6 is a plot of efficiency versus particle stream width.

Figure 7 is a schematic drawing illustrating the general type of furnace with which the burners of the invention can be used.

Figure 8 is a schematic drawing illustrating the use of a baffle in connection with a burner having three premix regions.

The foregoing drawings, which are incorporated in and constitute part of the specification, illustrate the preferred embodiments of the invention, and together with the description, serve to explain the principles of the invention. It is to be understood, of course, that both the drawings and the description are explanatory only and are not restrictive of the invention. The drawings are not intended to indicate scale or relative proportions of the elements shown therein.

The reference numbers used in the drawings correspond to the following:

- |    |  |
|----|--|
| 15 | 1      first gas-emitting region (fume tube) of burners C and D    |
|    | 2      second gas-emitting region (innershield) of burners C and D |
|    | 3      third gas-emitting region of burners C and D                |
|    | 4      fourth gas-emitting region of burners C and D               |
|    | 5      fifth gas-emitting region of burners C and D                |
| 20 | 6      sixth gas-emitting region (outershield) of burners C and D  |
|    | 12     furnace crown   |
|    | 13     burner face   |
|    | 14     burner  |
|    | 15     bottom portion of burner                                    |
| 25 | 16     top portion of burner                                       |
|    | 17     baffle  |
|    | 19     boule   |
|    | 20     innershield channel in bottom portion of burner             |
|    | 21     premix channel in bottom portion of burner                  |
| 30 | 22     outershield channel in bottom portion of burner             |
|    | 24     substantially planar surface for collecting soot particles  |

- 26 furnace cavity  
27 O-ring  
28 O-ring  
29 O-ring  
5 30 O-ring  
31 fume tube channel in top portion of burner  
32 innershield channel in top portion of burner  
33 premix channel in top portion of burner  
34 outershield channel in top portion of burner  
10 41 fume tube drilled hole in bottom portion of burner  
42 innershield annulus in bottom portion of burner  
43 premix drilled hole in bottom portion of burner  
44 premix drilled hole in bottom portion of burner  
45 premix drilled hole in bottom portion of burner  
15 46 outershield drilled hole in bottom portion of burner  
50 CH<sub>4</sub> supply  
51 O<sub>2</sub> supply  
52 mixer  
53 conduit from CH<sub>4</sub> supply to mixer  
20 54 conduit from O<sub>2</sub> supply to mixer  
55 conduit from mixer to baffle in burner  
56 conduit from baffle to third gas-emitting region of the burner's  
face  
57 conduit from baffle to fourth gas-emitting region of the  
burner's face  
25 58 conduit from baffle to fifth gas-emitting region of the burner's  
face  
100 furnace  
101 first gas-emitting region (fume tube) of prior art burner of  
30 Figure 1 and burners A, B, E, and F

- 102 second gas-emitting region (innershield) of prior art burner of  
Figure 1 and burners A, B, E, and F
- 103 third gas-emitting region of prior art burner of Figure 1 and  
burners A, B, E, and F
- 5 104 fourth gas-emitting region of prior art burner of Figure 1 and  
burners A, B, E, and F
- 105 fifth gas-emitting region (outershield) of prior art burner of  
Figure 1 and burners A, B, E, and F

#### DETAILED DESCRIPTION OF THE INVENTION

10 As discussed above, the present invention is concerned with burners  
for use in producing boules of fused silica from halide-free, silicon-  
containing starting materials. Suitable halide-free, silicon-containing  
starting materials are those disclosed in Dobbins et al., U.S. Patent No.  
5,043,002 and Blackwell et al., U.S. Patent No. 5,152,819, the relevant  
15 portions of which are incorporated herein by reference. A particularly  
preferred starting material is octamethyl-cyclotetrasiloxane (OMCTS).

Figures 4 and 5 illustrate a suitable construction for the burner of  
the invention. As shown therein, the burner includes a bottom portion 15  
(see Figure 4) and a top portion 16 (see Figure 5). The "bottom" and "top"  
20 nomenclature refers to the orientation of the burner during use in a furnace  
of the type shown in Figure 7.

As shown in Figure 5, the top portion of the burner includes fume  
tube channel 31, inner shield channel 32, premix channel 33, and  
outershield channel 34 which, during use of the burner, carry a halide-free,  
25 silicon-containing starting material, oxygen, a mixture of a combustible gas  
and oxygen, and oxygen, respectively. Premix channel 33 preferably  
includes baffle 17 which, as explained below, helps ensure uniform gas  
emission from region 3 of burner face 13. Top portion 16 also includes  
O-rings 27, 28, 29, and 30 which serve to seal the top and bottom portions  
30 together in the assembled burner.

During use, channels 31, 32, 33, and 34 are provided with the gases used by the burner (e.g., OMCTS mixed with N<sub>2</sub>, O<sub>2</sub>, CH<sub>4</sub> mixed with O<sub>2</sub>, and O<sub>2</sub>, respectively) using a suitable gas delivery system, e.g., regulated gas sources, feed lines, gas mixers, metering pumps, flowmeters, heaters and vaporizers for OMCTS, etc. Suitable flow rates for these materials are as follows: OMCTS -- 6.0-6.5 grams/minute; N<sub>2</sub> -- 4.6-6.4 slpm; innershield O<sub>2</sub> -- 7-8 slpm; premix (1:1 O<sub>2</sub>:CH<sub>4</sub>) -- 22 slpm; and outershield oxygen -- 15.0-17.5 slpm.

As shown in Figure 4, bottom portion 15 includes channels 41, 20, 21, 10 and 22 which are aligned with channels 31, 32, 33, and 34, respectively, in the assembled burner. Channel 41 passes through the body of bottom portion 15 and creates the burner's first gas-emitting region 1 at burner face 13. Channel 20 communicates with annulus 42 which creates the burner's second gas-emitting region 2 at burner face 13. Channel 21 15 communicates with drilled holes 43, 44, and 45, which create the burner's third, fourth, and fifth gas-emitting regions 3, 4, and 5, respectively, at burner face 13. Channel 22 communicates with drilled holes 46 which create the burner's sixth gas-emitting region 6 at burner face 13. Drilled holes 43 through 46 are the preferred means for creating gas-emitting 20 regions 3 through 6, although other means, e.g., a continuous annulus, can be used if desired. Conversely, annulus 42 can be in the form of drilled holes, if desired.

The advantages of the burner construction of the invention can be best understood with reference to Figures 1-3 and Tables 1-2. Figure 1 is 25 a schematic drawing of a prior art burner in which OMCTS flows out of fume tube 101 with nitrogen as a carrier gas, innershield 102 and outershield 105 have oxygen flowing out of them, and a mixture of oxygen and methane exits premix holes 103 and 104.

In this burner, fume tube 101 is flush with or slightly recessed from 30 burner face 13 and innershield 102 is in the form of an annulus. An annulus, rather than a ring of holes, is used for the innershield since burner

build up due to polymerization of OMCTS occurred when a ring of holes was used. When SiCl<sub>4</sub>, instead of OMCTS, was the starting material, a protruding fume tube was used and burner buildup was not observed when the innershield was a ring of holes.

5        Although the burner of Figure 1 works successfully in producing boules having a thickness of about 6 inches, it is unable to make glass at long burner to laydown distances. In order to make a thick boule, a burner needs to produce a flame able to make glass at long burner to laydown distances (e.g., greater than 12 inches).

10      The design modifications studied to achieve longer burner to laydown distances were: focus, decreased velocity of innershield oxygen, decreased velocity of premix, and fume tube size. Focus, i.e., the bringing of the various gas-emitting regions of the burner closer together, was achieved by holding the locations of channels 21 and 22 constant and changing the  
15     starting locations and angles of drilled holes 43 through 46. This allowed the same top portion 16 to be used with each burner design. In practice, the change in gas vectors resulting from changes in the angles of holes 43 and 46 has only a secondary effect on the performance of the burner.

20      Six experimental burners were designed, built, and tested. Table I gives the design modification and the intent of each burner design. For each burner, the key process variables evaluated were particle size, number of particles, mass of particles, and width of the particle stream. The relationship between these key process variables and lay down efficiency and rate were quantified. The flame produced by the burners was  
25     evaluated utilizing light scattering measurements, mathematical modeling, single burner development furnace trials, and full scale production furnaces. Light scattering measurements, i.e., measurements of the amount of laser light scattered in various directions by the soot particles in the flame, were used to determine the width of the stream of soot particles  
30     produced by the burner. Alternatively, the width can be determined photographically or by visual observation.

Figures 2 and 3 are schematic drawings of the burners which were tested and Table 2 gives their dimensions in inches. The abbreviation "Dia BC" used in Table 2 stands for the diameter of the "bolt circle" defined by the holes making up the various gas-emitting regions. Figure 2 shows the 5 overall design of burners A, B, E, and F, which only include two premix regions, and Figure 3 shows the overall design of burners C and D, which include three premix regions. The overall design of the prior art burner is shown in Figure 1.

Burners A and B represent an initial design modification in which a 10 focused burner was created by bringing the burner holes closer together, similar to burners used to produce optical waveguide preforms. Burners A and B are identical except that burner B has a larger innershield.

Burners C and D have an additional ring of premix holes which 15 decrease the velocity of premix. The difference between burners C and D is that burner C is more focused than burner D.

The design modification for burners E and F was the diameter of the fume tube. The fume tube size was increased from 0.085 inches to 0.106 inches. Burner E has the same configuration as the prior art burner with the exception of a larger fume tube. Burner F has the same design as 20 burner B except for the larger fume tube.

The majority of the burners were designed to make a longer, more laminar and more focused jet stream than the prior art burner. For example, when used with the same gas flows, burner A produced a flame that was longer and more laminar like than the prior art burner. However, 25 as set forth in Table 1, this alone did not result in a consistently significant increase in yield.

Rather, it was determined that: (1) the width of the particle stream was inversely proportional to the lay down rate and efficiency; and (2) the burner design that gave the highest deposition rate was a more focused 30 burner with a decrease in velocity of premix.

The burner that had these characteristics was burner D. The advantage of this burner is that it decreases the width and the unsteadiness of the soot stream allowing for a higher deposition rate by increased efficiency. In a production furnace, this burner produced a more 5 laminar like flame and the deposition rate increased by 60%. The flame produced by burner D was 15 inches long so that the burner was able to produce a 2072 pound boule. For comparison, the average boule weight for the prior art burner was 1200 pounds.

In terms of structural features, the elements that are required and 10 which burner D has are: (1) the burner holes are brought closer together, i.e., the burner is focused; and (2) an extra ring of premix is provided. The closer holes decrease the recirculation zone that causes eddies and a more turbulent flame. The extra ring of premix decreases the velocity of the premix and increases the surface area resulting in a more stable and longer 15 flame. Looked at another way, decreasing the velocity of the premix can be thought of as producing a container for the silica particles so that they will stay in the burner flame longer and thus allow one to make boules at a greater distance from the face of the burner, i.e., thicker boules.

In addition to its enhanced efficiency, burner D also has the following 20 desirable performance characteristics:

(1) Since burner D has a more laminar flame, there is less oxygen (from outside air) entrained in the flame by mixing and therefore the flame is more reducing as is generally desirable.

(2) Burner soot rarely accumulates on the burner face. This is a 25 significant advantage since soot accumulation means that burners must be shut down during a furnace run which lowers the deposition rate.

There are two reasons why soot builds up on a burner's face: (a) 30 thermophoresis, and (b) the velocity of the soot particles. The third ring of premix which decreases the velocity and the smaller surface area results in a flame profile that prevents the burner soot from depositing on the burner face.

The lack of soot build up on the burner face also improves the safety of the soot laydown process by decreasing the potential for "snapback." If soot covers the premix holes or plugs the fume tube, a small explosion, known as a "snapback," can occur. Burner D with its reduced soot build up minimizes these possibilities.

The lack of soot build up also makes burner D easier to use since operators spend less time cleaning the burners and in port scraping, i.e., scraping of the burner holes in the furnace crown. Port scraping is decreased because less soot deposits in the ports since the burner is more efficient.

For comparison, burner A, which is similar to burner D in that it has burner holes that have been brought in closer together to produce a more laminar flame, failed to improve yield in a production furnace. Unlike burner D, burner A does not have an extra ring of premix. This result shows that the extra ring of premix is essential in increasing the yield of high purity fused silica glass. Burners E and F also did not increase yield. These burners have a larger fume tube which produced a turbulent flame.

Burner D shares the following common traits with the prior art burner: they both utilize the same top portion of the burner, all connections to the furnace, all gases, and all flow rates are the same, and the burners are made of the same material (aluminum) and are the same size. In addition, the soot particle size and range of particle sizes are the same for the two burners.

The differences between burner D and the prior art burner are:

(1) the burner holes of burner D are brought in closer together so that the radial distances between all gas-emitting regions of the burner are substantially the same; and (2) there is an extra ring of premix gases. These differences result in a longer, more laminar like flame, which reduces the width of the particle stream. In addition, burner D produces less soot particles than the prior art burner per unit time.

Figure 6 is a plot of deposition efficiency vs. width of the particle stream at a distance of 12 inches from the burner face. As can be seen in this figure, the smaller the width of the particle stream, the higher the OMCTS efficiency and thus the deposition rate.

As can also be seen in Figure 6, burner C's deposition efficiency is even greater than burner D's efficiency. However, the gas-emitting regions of burner C are so close together that manufacture of this burner in quantity can be difficult and for this reason, burner D is preferred. It should be noted that although Figure 6 shows an improved efficiency for burners A and B, this improvement declines for some OMCTS flow rates used in practice. Burners C and D, on the other hand, exhibit enhanced efficiency over the entire range of OMCTS flow rates typically used in practice.

The relationship between efficiency and particle stream width shown in Figure 6 is believed to be due to a boundary layer phenomenon in which a more laminar focused stream allows for more of the soot particles to be captured within the boule. That is, when the flame spreads out over a flat surface, because the narrower flame entrains less furnace gases, the boundary layer is thinner and contains more particles which results in increased deposition rates. Furthermore, flames which have a particle stream of decreased width are less turbulent.

Out of all the parameters examined (particle size, range of particle size, number of particles, mass of particle stream, and width of the particle stream), the width of the particle stream had the most influence on the deposition rate and OMCTS efficiency. In terms of controlling the particle stream width, it was found that for burners A-D, this parameter can be controlled (varied) by adjusting the outershield flow rate. By decreasing the outershield flow rate, the flame becomes longer and less turbulent which allows for more soot to be captured. For example, when the outershield gas flow was lowered by 13% for burner D, the efficiency increased from 60% to 68%. This condition yielded a particle stream size

and OMCTS efficiency essentially the same as that shown for burner C in Figure 6.

As discussed above, channel 33 of the burner's top portion 16 includes baffle 17 (see Figure 5), which serves to generate uniform premix flames. The baffle is preferably placed in the top portion of the burner to spread the gas/oxygen flow in channel 33 before that flow enters the bottom portion. However, if desired, the baffle can be placed in the bottom portion of the burner.

During testing of the D burner, a non-uniform gas-oxygen flame in 10 the inner ring of premix holes (i.e., gas-emitting region 3 in Figure 4) was observed. This non-uniformity was only slightly noticeable at lower gas flows (<10 LPM methane, 10 LPM oxygen) but as flows increased, it became more apparent. At the deposition flows (18 LPM methane, 20 LPM oxygen), one side of the flame extended ~1/16" from the burner face while the other 15 side was ~1/4", which was considered unacceptable for use on a production furnace.

The non-uniform flame is believed to be the result of the addition of the third ring of holes for gas/oxygen flow, which increases the total hole area over 60%. This results in a change in the flow characteristics between 20 channel 33 of top portion 16 and the gas outlet at the burner face resulting in a non-uniform gas flow through the outlet holes. Neither the second nor the third ring of holes used for gas/oxygen flow, located towards the outershield, exhibited the flame variability.

In accordance with the invention, a baffle is used to reduce this 25 variability in the burner flame. The overall arrangement of the baffle in the furnace system is shown in Figure 8. As illustrated therein, a CH<sub>4</sub> supply 50 and an O<sub>2</sub> supply 51 are connected to a mixer 52 by conduits 53 and 54, respectively. Conduit 55 connects mixer 52 to the burner. In particular, the CH<sub>4</sub>/O<sub>2</sub> mixture produced by mixer 52 is provided to baffle 30 17, which in the preferred embodiments of the invention is located in channel 33 of the top portion 16 of the burner (see Figure 5). From baffle

17 the CH<sub>4</sub>/O<sub>2</sub> mixture is carried to regions 3, 4, and 5 of burner face 13 by conduits 56, 57, and 58, respectively. As shown in Figure 4, in the preferred embodiments of the invention, conduits 56, 57, and 58 comprise channel 21 and drilled holes 42, 43, and 44, respectively.

5 Various types of baffles can be used in the practice of the invention. For example, aluminum rings containing 36 holes with equal spacing and having a diameter of either 0.040" or 0.060" diameter can be used. An insert cut from a SCOTCH BRIGHT pad can also be used. Tests with both the aluminum rings and the SCOTCH BRIGHT pad showed that these  
10 baffles eliminated the flame non-uniformity. The aluminum rings, however, had severe gas/oxygen ratio limitations that resulted in a loud, high pitched sound and prevented use of the burners at the desired premix flow rates. The SCOTCH BRIGHT pad design did not have this limitation, but was made from a material different from that of the burners, i.e., it was not  
15 made of aluminum.

A preferred baffle construction comprises a corrugated aluminum baffle of the type shown in Figure 5. This baffle can be prepared by cutting narrow strips (e.g., 3/16" wide) from a rolled aluminum sheet (e.g., 0.012" thick), crimping the strips, curling them into rings, and then slip fitting  
20 them into channel 33. Preferably, after the strips are trimmed to length, the ends of the strips are crimped, leaving the middle section uncrimped. The strips can be installed by hand or using a tool. The tool can, for example, comprise a center tip for alignment with channel 31, an inner moveable ring around which the strips are wrapped, and an outer fixed ring  
25 which holds the strips in place prior to insertion. By moving the inner ring, the baffle is moved into top portion 16, while the outer ring holds the baffle in alignment with channel 33.

When properly installed, the crimped strips form a baffle with numerous openings ~0.100" across and 3/16" long. The baffle produces a  
30 uniform laminar flow with little, if any, back pressure, and corrects the flame non-uniformity problem. In addition, it is inexpensive and easy to

install. Among its various advantages, the use of a baffle minimizes the chances for a snapback as a result of non-uniformity in the premix cones (flames).

Although preferred and other embodiments of the invention have been described herein, further embodiments may be perceived by those skilled in the art without departing from the scope of the invention as defined by the following claims.

**TABLE 1**

**Test Burner Designs And The Intent Of Same**

Burner	Description of Burner	Intent of Design	Did it increase yield?
A	(a) Focused -- burner holes brought closer together	(a) Produce a longer and laminar type flame	In some cases yield increased significantly while in others it did not.
B	(a) Focused (b) Larger diameter inner shield	(a) Longer and laminar like flame (b) Decrease velocity of inner shield	In some cases yield increased significantly while in others it did not
C	(a) Very focused (b) Additional ring of premix	(a) Longer and laminar like flame (b) Decrease velocity of premix	Yes; yield increased significantly in all cases.
D	(a) Additional ring of premix (b) Focused	(a) Longer and laminar like flame (b) Decrease velocity of premix	Yes; yield increased significantly in all cases.
E	(a) Large fume tube	(a) Decrease velocity of OMCTS	No; yield decreased.
F	(a) Focused (b) Large fume tube	(a) Longer and laminar like flame (b) Decrease velocity of OMCTS	No; yield decreased

**TABLE 2**  
**Dimensions Of Test Burners**

		Prior Art Burner	Burner A	Burner B	Burner C	Burner D	Burner E	Burner F
Fume Tube	ID	0.085	0.085	0.085	0.085	0.085	0.106	0.106
	OD	0.109	0.109	0.109	0.109	0.109	0.134	0.134
Innershield	Angle	0	0	0	0	0	0	0
	Dia	0.177	0.177	0.220	0.177	0.177	0.220	0.220
Premix 1	Angle	0	0	0	0	0	0	0
	Dia BC	0.594	0.340	0.340	0.310	0.340	0.594	0.340
	#	24	18	18	18	18	24	18
	Dia Holes	0.040	0.040	0.040	0.040	0.040	0.040	0.040
Premix 2	Angle	0	14.25	14.25	15.28	13.65	0	14.25
	Dia BC	0.750	0.530	0.530	0.470	0.530	0.750	0.530
	#	24	24	24	24	24	24	24
	Dia Holes	0.040	0.040	0.040	0.040	0.040	0.040	0.040
Premix 3	Angle	0	14.25	14.25	13.33	9.77	0	14.25
	Dia BC				0.630	0.720		
	#				32	36		
	Dia Holes				0.040	0.040		
	Angle				13.33	6.65		
Outershield	Dia BC	1.094	0.720	0.720	0.790	0.910	1.094	0.720
	#	24	36	36	36	36	24	36
	Dia Holes	0.040	0.040	0.040	0.040	0.040	0.040	0.040
	Angle	0	21.63	21.63	15.28	9.77	0	21.63

What is claimed is:

1. A method for forming a silica-containing boule comprising the steps of:
  - (a) providing a substantially planar surface;
  - (b) providing a soot-producing burner having a burner face that comprises first, second, third, fourth, fifth, and sixth gas-emitting regions, the second region surrounding the first region, the third region surrounding the second region, the fourth region surrounding the third region, the fifth region surrounding the fourth region, and the sixth region surrounding the fifth region;
  - (c) providing a mixture comprising an inert gas and a halide-free, silicon-containing material to the first region;
  - (d) providing oxygen to the second region;
  - (e) providing a mixture of a combustible gas and oxygen to the third region;
  - (f) providing a mixture of a combustible gas and oxygen to the fourth region;
  - (g) providing a mixture of a combustible gas and oxygen to the fifth region;
  - (h) providing oxygen to the sixth region; and
  - (i) collecting silica-containing soot on the substantially planar surface to form the boule.
2. The method of Claim 1 wherein the burner produces a stream of soot particles and the width of the stream of soot particles is controlled to enhance the efficiency of step (i).
3. The method of Claim 2 wherein the width of the stream of soot particles is reduced to enhance the efficiency of step (i).
4. The method of Claim 2 wherein the width of the stream of soot particles is controlled by controlling the amount of oxygen provided to the sixth region.

5. The method of Claim 1 wherein the mixture of a combustible gas and oxygen is provided to the third region through a baffle.

6. The method of Claim 5 wherein the mixture of a combustible gas and oxygen is provided to the fourth and fifth regions through a baffle.

7. The method of Claim 1 wherein the third, fourth, fifth, and sixth regions are radially spaced from one another by substantially the same distance.

8. The method of Claim 1 wherein the boule has a thickness greater than six inches.

9. The method of Claim 1 wherein the silica-containing soot is consolidated as it is being collected in step (i).

10. A method for forming a silica-containing boule comprising:

(a) providing a furnace which comprises:

(i) a cavity;

(ii) at least one burner which produces a stream of soot particles; and

(iii) a substantially planar surface within the cavity for collecting the soot particles to form the boule;

(b) providing a halide-free, silicon-containing material to the at least one burner; and

(c) collecting the soot particles produced by the at least one burner to form the boule;

wherein the width of the stream of soot particles is controlled to enhance the efficiency of step (c).

11. The method of Claim 10 wherein the width of the stream of soot particles is reduced to enhance the efficiency of step (c).

12. The method of Claim 10 wherein the at least one burner has a burner face and the width of the stream of soot particles is less than 25 millimeters at a distance of 150 millimeters from said face.

13. The method of Claim 12 wherein the width of the stream of soot particles is less than 12 millimeters at a distance of 150 millimeters from said face.

14. The method of Claim 10 wherein the at least one burner has a burner face and the width of the stream of soot particles is less than 25 millimeters at a distance of 200 millimeters from said face.

15. The method of Claim 14 wherein the width of the stream of soot particles is less than 12 millimeters at a distance of 200 millimeters from said face.

16. The method of Claim 10 wherein the boule has a thickness greater than six inches.

17. The method of Claim 10 wherein the soot particles are consolidated as they are collected in step (c).

18. A soot-producing burner comprising a burner face which comprises first, second, third, fourth, fifth, and sixth gas-emitting regions, the second region surrounding the first region, the third region surrounding the second region, the fourth region surrounding the third region, the fifth region surrounding the fourth region, and the sixth region surrounding the fifth region, wherein:

- (a) the first region emits a mixture of a halide-free, silicon-containing material and an inert gas;
- (b) the second region emits oxygen;
- (c) the third region emits a mixture of a combustible gas and oxygen;
- (d) the fourth region emits a mixture of a combustible gas and oxygen;
- (e) the fifth region emits a mixture of a combustible gas and oxygen; and
- (f) the sixth region emits oxygen.

19. The burner of Claim 18 wherein the third, fourth, fifth, and sixth regions are radially spaced from one another by substantially the same distance.

20. The burner of Claim 18 wherein the burner comprises a baffle and the mixture of a combustible gas and oxygen emitted by the third region passes through the baffle before being emitted from the face of the burner.

21. The burner of Claim 20 wherein the mixture of a combustible gas and oxygen emitted by the fourth and fifth regions passes through the baffle before being emitted from the face of the burner.

22. Apparatus for producing silica-containing soot comprising:  
(a) a source of a mixture of a combustible gas and oxygen;  
(b) a burner for producing silica-containing soot; and  
(c) a source-to-burner conduit for carrying the mixture of a combustible gas and oxygen from the source to the burner;

wherein the burner comprises:

- (i) a burner face which comprises three concentric gas-emitting regions, each of which emits the mixture of a combustible gas and oxygen;
- (ii) three gas-carrying conduits, one conduit connected to each of said three gas-emitting regions; and
- (iii) a baffle between the source-to-burner conduit and the three gas-carrying conduits.

FIG. 1.  
PRIOR ART

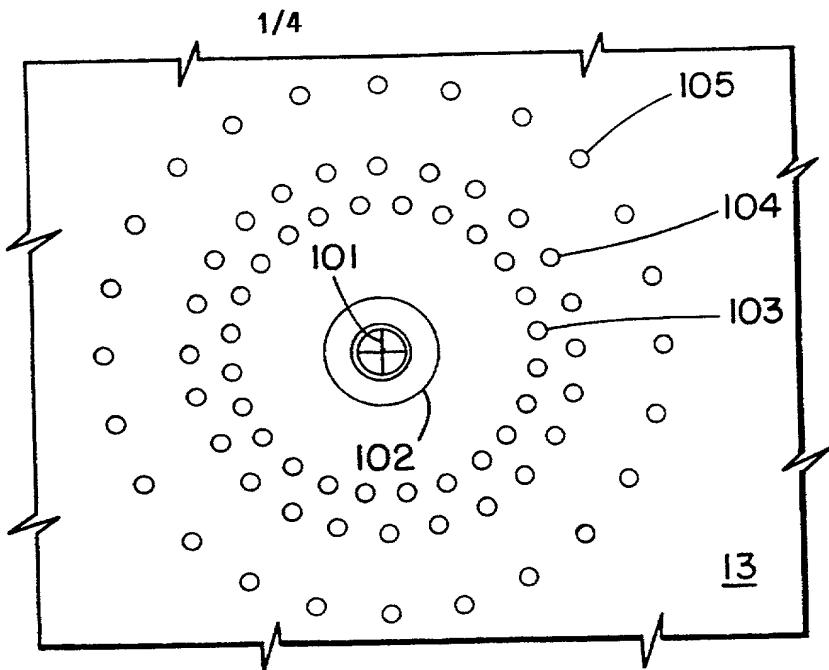


FIG. 2.

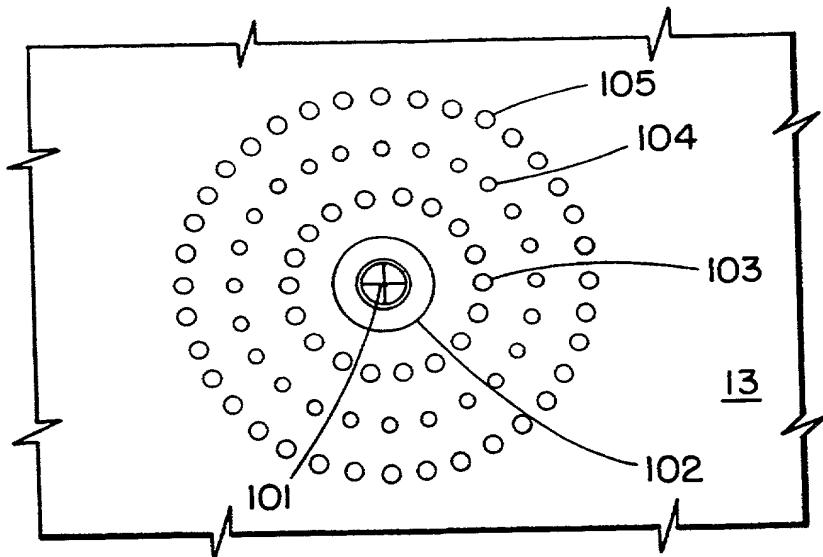
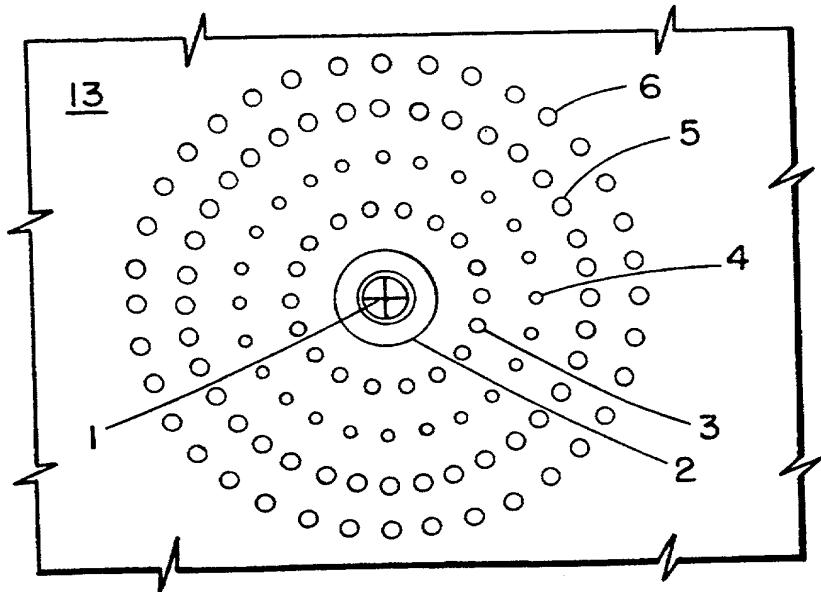


FIG. 3.



2/4

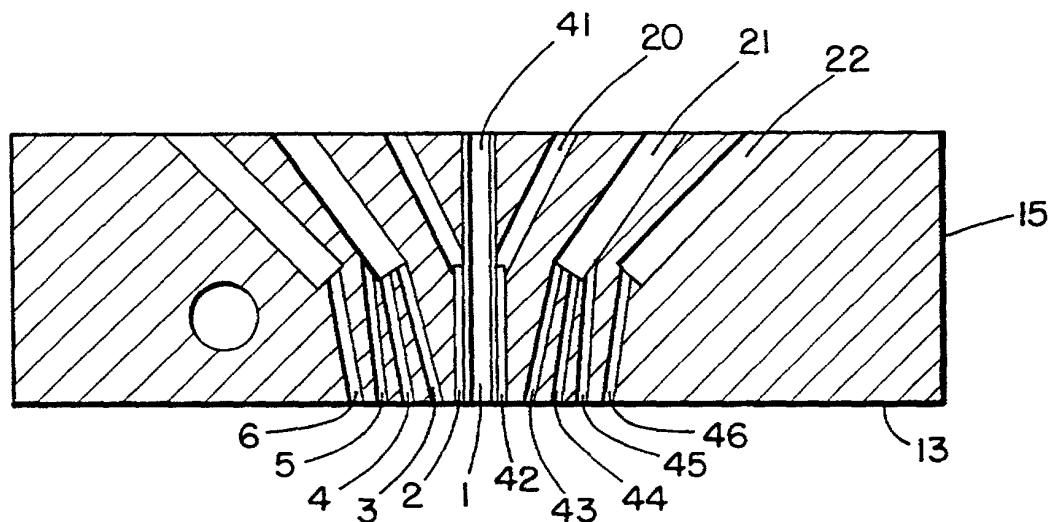
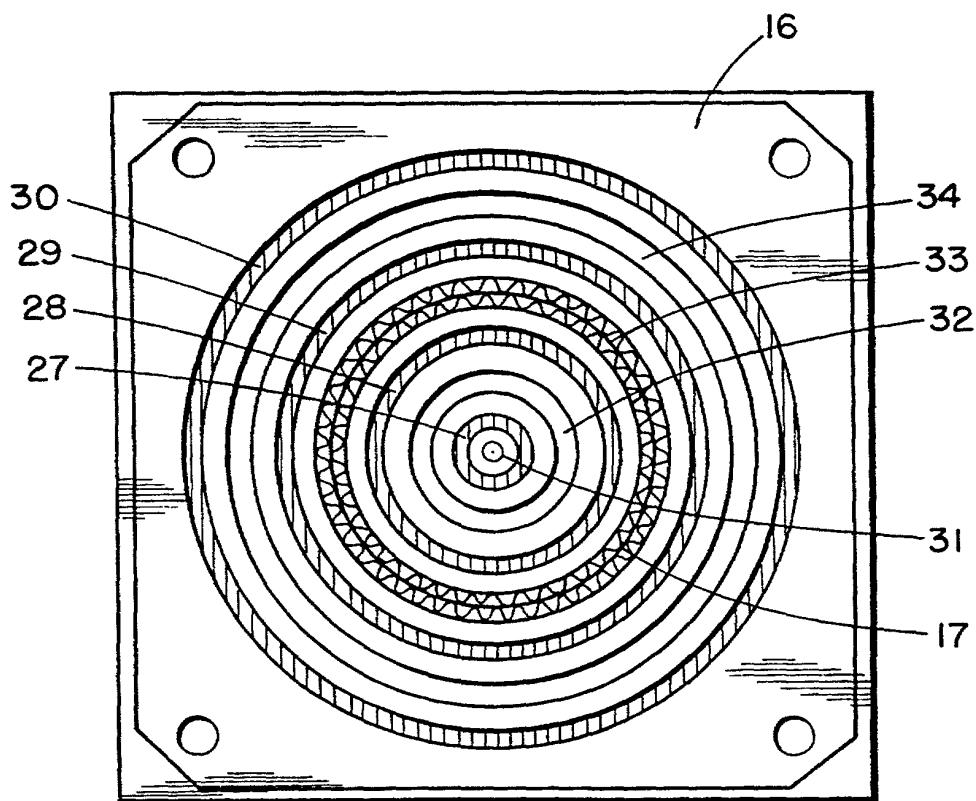
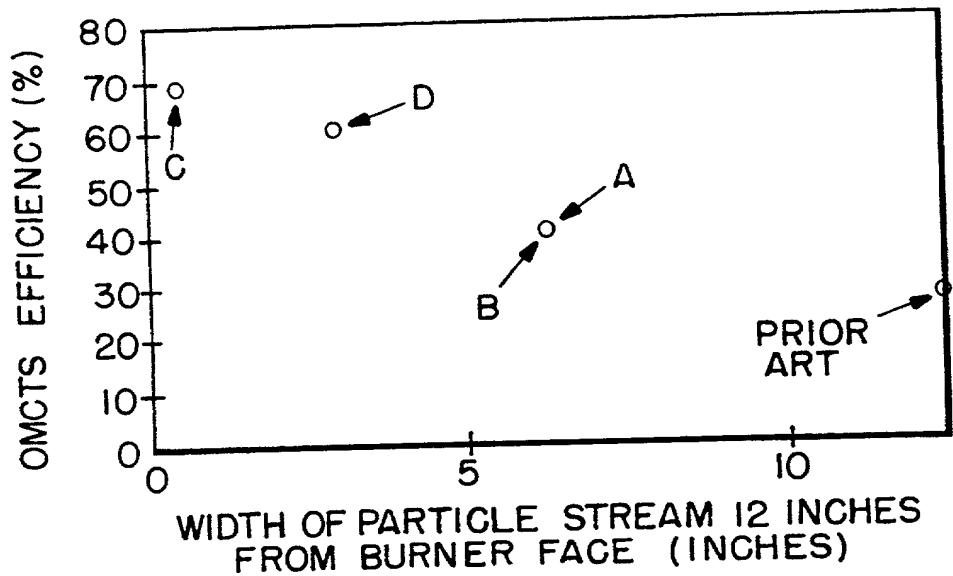
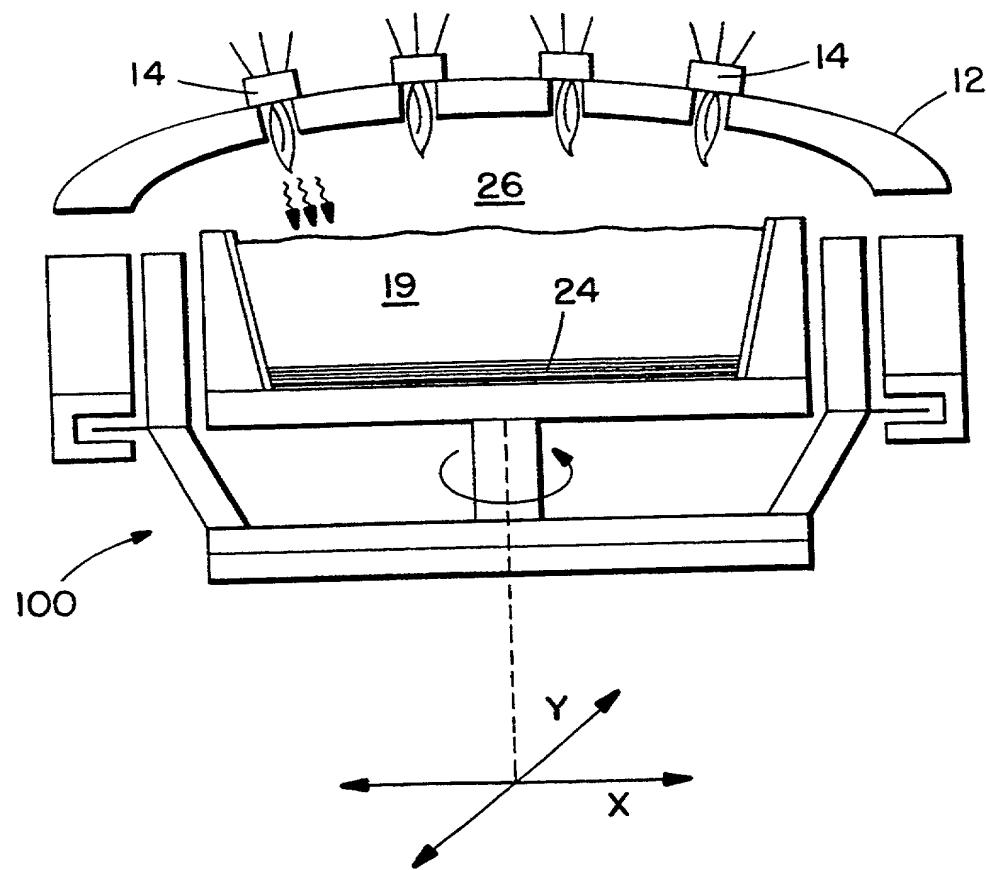
FIG. 4.FIG. 5.

FIG. 6.FIG. 7.

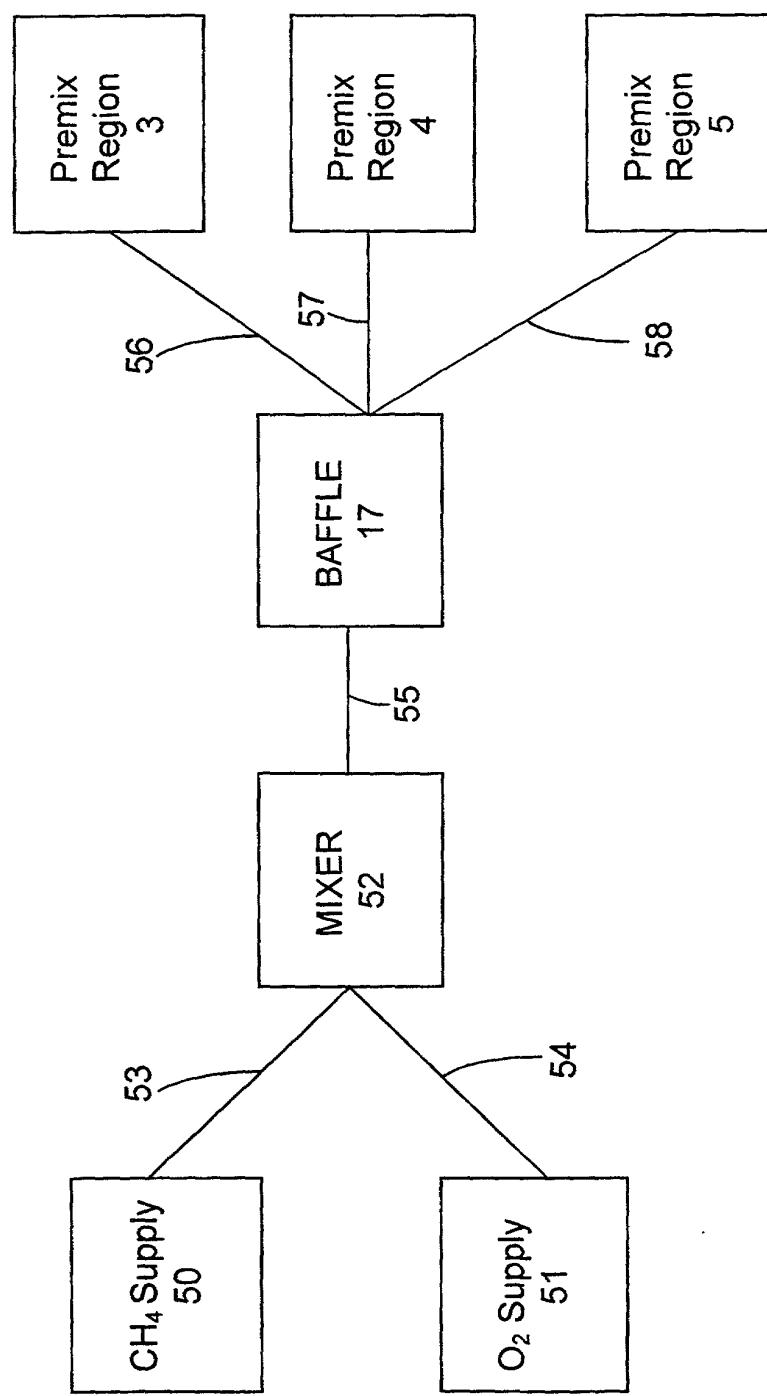


FIG. 8.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)  
OR

Attorney Docket Number	CGW-247
First Named Inventor	Ball
<b>COMPLETE IF KNOWN</b>	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**BURNERS FOR PRODUCING BOULES OF FUSED SILICA GLASS**

the specification of which (Title of the Invention)

is attached hereto  
OR  
 was filed on (MM/DD/YYYY) 09/17/1999 as United States Application Number or PCT International

Application Number PCT/US99/21658 and was amended on (MM/DD/YYYY)                  (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto
60/101,403	09/22/1998	<input type="checkbox"/>

## DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number <i>(if applicable)</i>					
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.							
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:							
<input type="checkbox"/> Customer Number <span style="border: 1px solid black; padding: 2px;"> </span> → <span style="border: 1px solid black; padding: 2px;"> </span> <span style="border: 1px solid black; padding: 2px;">Place Customer Number Bar Code Label here</span>							
OR <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below							
Name	Registration Number	Name	Registration Number				
Maurice M. Klee	30,399	Edward Murphy	38,251				
Mark W. Lauroesch	35,583	Angela N. Nwaneri	34,229				
Alfred L. Michaelsen	24,511						
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Direct all correspondence to: <input type="checkbox"/> Customer Number <span style="border: 1px solid black; padding: 2px;"> </span> OR <input checked="" type="checkbox"/> Correspondence address below							
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Country	US	Telephone	(203) 255-1400				
		FAX	(203) 254-1101				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon							
<b>Name of Sole or First Inventor:</b> <span style="float: right;"><input type="checkbox"/> A petition has been filed for this unsigned inventor</span>							
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**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**

Page 1 of 2

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**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**Page 1 of 2

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Post Office Address							
City	Horseheads	State	NY	ZIP	14845	Country	US
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Dale R.				Powers			
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Post Office Address							
City	Painted Post,	State	NY	ZIP	14870	Country	US

5-00

**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**Page 2 of 2

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<u>Michael H.</u>		<u>Wasilewski</u>					
Inventor's Signature	<i>Michael H. Wasilewski</i>				Date <u>3/15/01</u>		
Residence: City	Corning	State <u>NY</u>	Country	US	Citizenship	US	
Post Office Address	1617 RD#2 Riff Road						
Post Office Address							
City	Corning	State		ZIP	14830	Country	US
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	